



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Docket No.: 01-1693-N)

In re Application of: )  
Fang et al. ) Before the Examiner: S. Kumar  
)  
Serial No.: 09/896,874 ) Art Unit: 1621  
)  
Filed: June 29, 2001 ) Confirmation No. 9095  
)  
For: Compounds to Treat Alzheimer's Disease )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of non U.S. patent and non U.S. Pregrant publication references cited below are enclosed. The references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative relevance between references, whether cited in this or prior statements. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

10-27-2004  
U.S. Patent & TMOfc/TM Mail Rcpt Dt. #10

**United States Patents**

1. Fassler et al., United States Patent No. 6,225,345, issued May 1, 2001.
2. Grobelny et al., United States Patent No. 6,258,806, issued July 10, 2001.

**Foreign Patent Documents**

3. PCT Patent Application No. WO 02 02505, issued January 10, 2002.
4. PCT Patent Application No. WO 99 36404, issued July 22, 1999.

**United States Pre-Grant Publications**

5. U.S. Patent Application No. 09/895,871, Fang et al., filed June 29, 2001.
6. U.S. Patent Application No. 09/895,843, Beck et al., filed June 29, 2001.
7. U.S. Patent Application No. 09/896,139, Fang et al., filed June 29, 2001.
8. U.S. Patent Application No. 10/152,601, Schostarez, et al., filed May 22, 2002.
9. U.S. Patent Application No. 10/291,318, John et al. filed November 8, 2002.

In accordance with MPEP Sections 609 and 707.05(b), it is requested the document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Respectfully submitted,

Dated: October 26, 2004

By:

Bradley W. Crawford  
Bradley W. Crawford  
Reg. No. 50,494

**FORM PTO-1449**  
(Rev. 2-32)

**U.S. Department of Commerce  
Patent and Trademark Office**

**Atty. Docket No.**      **Serial No.**

01-1693-N

09/896,874

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use several sheets if necessary)



**Applicant:**

Fang, et al.

**Filing Date:**      **Group:**

June 29, 2001

1621

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
1.	6,225,345	May 1, 2001	Fassler et al.			
2.	6,258,806	July 10, 2001	Grobelny et al.			

**U.S. PATENT APPLICATIONS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
3.	09/895,871	June 29, 2001	Fang et al.			
4.	09/895,843	June 29, 2001	Beck, et al.			
5.	09/896,139	June 29, 2001	Fang et al.			
6.	10/152,601	May 22, 2002	Schostarez et al.			
7.	10/291,318	Nov 8, 2002	Varghese et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
8.	WO 02 02505	Jan 10, 2002	PCT				
9.	WO 99 36404	July 22, 1999	PCT				

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.